

PTO/SB/51 (07-03)

Approved for use through 01/31/2004. OMB 0651-0038

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REISSUE APPLICATION DECLARATION BY THE INVENTOR**

Docket Number (Optional)

353927.20502

I hereby declare that:

Each inventor's residence, mailing address and citizenship are stated below next to their name.

I believe the inventors named below to be the original and first inventor(s) of the subject matter which is described and claimed in patent number 6,340,473, granted January 22, 2002 and for which areissue patent is sought on the invention entitled FILM FORMING COMPOSITIONS COMPRISING MODIFIED STARCHESAND IOTA-CARAGEENAN AND METHODS FOR MANUFACTURING SOFT CAPSULES USING SAME,

the specification of which

☒ is attached hereto.☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_\_and was amended on \_\_\_\_\_  
(if applicable)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

☐ I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b). Attached is form PTO/SB/02B (or equivalent) listing the foreign applications.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

The original claims do not appreciate the full scope of the invention, and, therefore, reissue is requested to add broader claims. In particular, the percentage limitations in Claim 1 are too limiting, and it was not necessary to limit Claim 1 to a specific buffer system or even a buffer system. Claim 1 has been amended for clarification.

(Page 1 of 2)

This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/51 (07-03)

Approved for use through 01/31/2004. OMB 0851-0033  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)				Docket Number (Optional) 353927.20502	
All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.					
Note: To appoint a power of attorney, use form PTO/SB/81.					
Correspondence Address: Direct all communications about the application to:					
<input checked="" type="checkbox"/> Customer Number:		026418			
OR					
<input type="checkbox"/> Firm or Individual Name		William H. Dippert			
Address		Reed Smith LLP			
Address		599 Lexington Avenue, 29th Floor			
City		New York	State	New York	Zip 10022-7650
Country		US			
Telephone		212-521-5400	Fax	212-521-5450	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.					
Full name of sole or first inventor (given name, family name) Keith Edward Tanner					
Inventor's signature <i>Keith Tanner</i>				Date 1/21/04	
Residence Safety Harbor, Florida, US 34695				Citizenship US UK LOT 1/21/04	
Mailing Address <del>1600 Huntington Lane</del> 4463 Greenwood Court North, Safety Harbor, Florida 34696 CR					
Full name of second joint inventor (given name, family name) Peter Robert Draper					
Inventor's signature				Date	
Residence LaSalle, Ontario, Canada N9J 3E9				Citizenship CA	
Mailing Address 880 Lafferty Avenue, LaSalle, Ontario, Canada N9J 3E9					
Full name of third joint inventor (given name, family name) John J. Getz					
Inventor's signature <i>John J. Getz</i>				Date 1-21-04	
Residence <del>Delray Beach, Florida, US 33445</del>				Citizenship US	
Mailing Address <del>PMB 488, 4801 Linton Boulevard, Delray Beach, Florida, US 33445</del>					
<input checked="" type="checkbox"/> Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.					

[Page 2 of 2]

7551 Cumberland Rd  
 LARGO FL 33777  
 USA

(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)

353927.20502

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

Note: To appoint a power of attorney, use form PTO/SB/81

Correspondence Address: Direct all communications about the application to:

☒ Customer Number: 026418

OR

<input type="checkbox"/> Firm or Individual Name	William H. Dippert			
Address	Reed Smith LLP			
Address	599 Lexington Avenue, 29th Floor			
City	New York	State	New York	Zip 10022-7650
Country	US			
Telephone	212-521-5400	Fax	212-521-5450	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name) Keith Edward Tanner

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Safety Harbor, Florida, US 34695 Citizenship US

Mailing Address 1403 Crestwood Court North, Safety Harbor, Florida 34695

Full name of second joint inventor (given name, family name) Peter Robert Draper

Inventor's signature *P. Draper* Date *February 22, 2004*

Residence LaSalle, Ontario, Canada N9J 3E9 Citizenship CA

Mailing Address 860 Lafferty Avenue, LaSalle, Ontario, Canada N9J 3E9

Full name of third joint inventor (given name, family name) John J. Getz

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Delray Beach, Florida, US 33445 Citizenship US

Mailing Address PMB 136, 4801 Linton Boulevard, Delray Beach, Florida, US 33445

☒ Additional joint inventors or legal representatives are named on separately numbered sheets forms PTO/SB/167A or PTO/SB/167B.

PTO/SB/02A (08-03)

Approved for use through 09/31/2003. OMB 0681-0032  
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet	Page <u>3</u> of <u>3</u>
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stephen W.		Burnett	
Inventor's Signature <i>Stephen W. Burnett</i>		Date <i>01/21/04</i>	
Residence: City Clearwater	State FL	Country US	Citizenship US
Mailing Address <i>3725 Lichen Lane 3613 Glenmac Court</i>			
Mailing Address			
City <i>Clearwater Palm Harbor</i>	State FL	Zip <i>34684 33780</i>	Country US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Elizabeth		Youngblood	
Inventor's Signature <i>Elizabeth Youngblood</i>		Date <i>1/21/04</i>	
Residence: City Valrico	State FL	Country US	Citizenship US
Mailing Address 5120 Rolling Fairway Drive			
Mailing Address			
City Valrico	State FL	Zip 33594	Country US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.82. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/81 (09-03)

Approved for use through 11/30/2005. OMB 0831-0035

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# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Patent No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions...
Art Unit	
Examiner Name	
Attorney Docket Number	353927.20502

I hereby appoint:

☒ Practitioners associated with the Customer Number:

026418

OR

☐ Practitioner(s) named below:

Name	Registration Number
William H. Dippert	26,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☒ The address associated with Customer Number:

026418

OR

☐ Firm or Individual Name: Reed Smith LLP

Address: 599 Lexington Avenue

Address: 29th Floor

City: New York

State: New York

Zip: 10022-7650

Country: US

Telephone: 212-521-5400

Fax: 212-521-5450

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name	Keith Edward Tanner		
Signature	<i>Keith Tanner</i>		
Date	1/22/04	Telephone	727-803-2276

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.

# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Patent No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions
Art Unit	
Examiner Name	
Attorney Docket Number	353927-20502

I hereby appoint:

☒ Practitioners associated with the Customer Number:

026418

OR

☐ Practitioner(s) named below:

Name	Registration Number
William H. Dippert	26,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☒ The address associated with Customer Number:

026418

OR

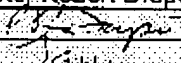
<input type="checkbox"/> Firm or Individual Name	Reed Smith LLP				
Address	599 Lexington Avenue				
Address	29th Floor				
City	New York	State	New York	Zip	10022-7650
Country	US				
Telephone	212-521-5400	Fax	212-521-5450		

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name	Peter Robert Draper		
Signature			
Date	January 12, 2004	Telephone	519 978 4436

NOTE: Signatures of all the inventors or assignees of record of the entire interest, or their representatives, are required. Submit multiple forms if more than one signature is required (see below).

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain, or retain a benefit by the public which is to file, and by the USPTO to process, an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/81 (09-09)

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Pat nt No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions...
Art Unit	
Examiner Name	
Attorney Docket Number	353927.20502

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026418

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☐ Practitioner(s) named below:

Name	Registration Number
William H. Dippert	26,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☒ The address associated with Customer Number:

026418

OR

☐ Firm or Individual Name Reed Smith LLP

Address 599 Lexington Avenue

Address 29th Floor

City New York

State

New York

Zip

10022-7650

Country

US

Telephone

212-521-5400

Fax

212-521-5450

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name	John J. Getz		
Signature	<i>John J. Getz</i>		
Date	January 22, 2004	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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PTO/SB/81 (09-03)

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Patent No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions...
Art Unit	
Examiner Name	
Attorney Docket Number	353927.20502

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Practitioners associated with the Customer Number:

026418

OR



Practitioner(s) named below:

Name	Registration Number
William H. Dippert	28,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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The address associated with the above-mentioned Customer Number:

OR



The address associated with Customer Number:

026418

OR

Firm or  
Individual Name

Reed Smith LLP

Address

599 Lexington Avenue

Address

29th Floor

City

New York

State

New York

Zip

10022-7650

Country

US

Telephone

212-521-5400

Fax

212-521-5450

I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/86)

## SIGNATURE of Applicant or Assignee of Record

Name	Stephen W. Burnett		
Signature	<i>Stephen W. Burnett</i>		
Date	07/30/04	Telephone	727-803-2367

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



\*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.81 and 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/01 (09-03)

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Patent No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions...
Art Unit	
Examiner Name	
Attorney Docket Number	353927.20502

I hereby appoint:

☒ Practitioners associated with the Customer Number:

026418

OR

☐ Practitioner(s) named below:

Name	Registration Number
William H. Dippert	26,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number;

OR

☒ The address associated with Customer Number:

026418

OR

<input type="checkbox"/> Firm or Individual Name	Reed Smith LLP				
Address	599 Lexington Avenue				
Address	29th Floor				
City	New York	State	New York	Zip	10022-7850
Country	US				
Telephone	212-521-5400	Fax	212-521-5450		

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)**SIGNATURE of Applicant or Assignee of Record**

Name	Elizabeth Youngblood				
Signature					
Date	1/22/04	Telephone	727 803-2109		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

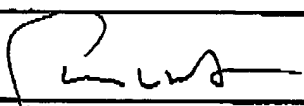
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PTO/SB/53 (05-03)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT</b>		<b>Docket Number (Optional)</b>  353927.20502
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) R.P. Scherer Technologies, Inc.		
Patent Number  6,340,473	Date Patent Issued  January 22, 2002	
Title of Invention Film Forming Compositions Comprising Modified Starches and Iota-Carrageenan and Methods of Manufacturing Soft Capsules Using Same		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p> <p style="text-align: right;">Scherer</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>R.P. Scherer Technologies, Inc.</u> and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned)		
Signature 	Date <u>1/22/04</u>	
Typed or printed name and title of person signing for assignee (if assigned)  Glenn Martin Vice President		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/98 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: R.P. Sherer Technologies, Inc.Application No./Patent No.: 6,340,473 Filed/Issue Date: January 22, 2002Entitled: Film Forming Compositions Comprising Modified Starches And Iota-Carrageenan and Methods Of...Sherer  
R.P. Sherer Technologies, Inc. a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011753, Frame 0817, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/22/04  
Date  
732-537-0404 614-757-7495  
Telephone number

Glenn Martin  
Typed or printed name  
[Signature]  
Signature  
Vice President  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/81 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

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# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Patent No.	6,340,473
Issue Date	January 22, 2002
First Named Inventor	Keith Edward Tanner
Title	Film Forming Compositions...
Art Unit	
Examiner Name	
Attorney Docket Number	353927.20502

I hereby appoint:

☒ Practitioners associated with the Customer Number:

026418

OR

☐ Practitioner(s) named below:

Name	Registration Number
William H. Dippert	26,723

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☒ The address associated with Customer Number:

026418

OR

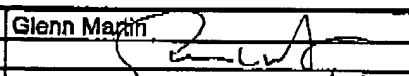
<input type="checkbox"/> Firm or Individual Name	Reed Smith LLP				
Address	599 Lexington Avenue				
Address	29th Floor				
City	New York	State	New York	Zip	10022-7650
Country	US				
Telephone	212-521-5400	Fax	212-521-5450		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/86)

## **SIGNATURE of Applicant or Assignee of Record**

Name	Glenn Martin		
Signature			
Date	1-22-04	Telephone	647-757-7495

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

6-30-00

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**RECORDATION FORM COVER SHEET  
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #  Frame #

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**Conveyance Type**

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

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☐ Departmental File

☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
06 27 00

Name (line 1)  Keith Edward Tanner

Name (line 2)

**Second Party**

Name (line 1)  Elizabeth Youngblood

Name (line 2)

Execution Date  
Month Day Year  
06 27 00

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)  R.P. Scherer Technologies, Inc.

Name (line 2)

Address (line 1)  2030 East Flamingo Road

Address (line 2)  Suite 260

Address (line 3)  Paradise Valley

Nevada

89119

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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RECORDATION FORM COVER SHEET  
CONTINUATION  
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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) John J. Getz

Execution Date  
Month Day Year

06 29 00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Stephen W. Burnett

06 27 00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Peter Robert Draper

06 27 01

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/608,853

**Correspondent Name and Address**

Area Code and Telephone Number (614) 757-5542

Name Donald O. Nickey

Address (line 1) Cardinal Health, Inc.

Address (line 2) 7000 Cardinal Place

Address (line 3) Dublin, Ohio 43017

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 5

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/608,853

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-0256

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Donald O. Nickey

Name of Person Signing

Signature

April 11, 2001

Date

## ASSIGNMENT

This assignment is made by Keith Edward Tanner, 1403 Crestwood Court North, Safety Harbor, Florida 34695; John J. Getz, PMB 136, 4801 Linton Blvd., Delray Beach, Florida 33445; Stephen W. Burnett, 2725 Lichen Lane, Clearwater, Florida 33760; Elizabeth Youngblood, 5120 Rolling Fairway Drive, Valrico, Florida 33594; and Peter Robert Draper, 860 Lafferty Avenue, LaSalle, Ontario, Canada N9J 3E9 (hereinafter "ASSIGNORS") to R.P. Scherer Technologies, Inc., 2030 East Flamingo Road, Suite 260, Paradise Valley, Nevada 89119 (hereinafter "ASSIGNEE").

FOR ONE U.S. DOLLAR (\$1.00) AND FOR OTHER GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, ASSIGNORS do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to their invention entitled FILM FORMING COMPOSITIONS COMPRISING MODIFIED STARCHES AND IOTA-CARRAGEENAN AND METHODS FOR MANUFACTURING SOFT CAPSULES USING SAME, as described and/or claimed in U.S. Patent Application Serial No. 09/608,853, filed June 30, 2000.

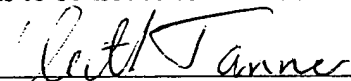
ASSIGNORS further do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to ASSIGNORS' invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.



ASSIGNORS HEREBY AUTHORIZE ASSIGNEE to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in ASSIGNEE'S name.

ASSIGNORS HEREBY PROMISE AND AGREE that ASSIGNORS will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention. ASSIGNORS will, at any time upon the request and without further consideration, but at the expense of ASSIGNEE, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, in ASSIGNEE, its successors, assigns, or other legal representatives. ASSIGNORS will, at any time, upon the request and at the expense of ASSIGNEE, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part or parts thereof and any patents issuing thereon are hereby assigned to ASSIGNEE. ASSIGNORS will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.


ASSIGNORS HEREBY AUTHORIZE AND REQUEST any and all Letters Patent for the invention, resulting from any of the aforesaid applications to be issued to the ASSIGNEE.

  
\_\_\_\_\_  
Keith Edward Tanner

STATE OF FLORIDA  
COUNTY OF DUVAL: ss:

Before me personally appeared Keith Edward Tanner, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 27 of JUNE, 2000.

  
\_\_\_\_\_  
Notary Public

NOTARY PUBLIC - STATE OF FLORIDA  
VIOLET F. MEANEY  
COMMISSION # CC822780  
EXPIRES 7/18/2003  
BONDED THRU ASA 1-888-NOTARY1

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_ ss:

John J. Getz

Before me personally appeared John J. Getz, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 27 of JUNE, 2000.

Violet F. Meaney  
Notary Public

Elizabeth Youngblood  
NOTARY PUBLIC - STATE OF FLORIDA  
VIOLET F. MEANEY  
COMMISSION # CC822780  
EXPIRES 7/18/2003  
BONDED THRU ASA 1-888-NOTARY1

STATE OF FLORIDA  
COUNTY OF DINELHAS ss:

Before me personally appeared Elizabeth Youngblood, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 27 of JUNE, 2000.

Violet F. Meaney  
Notary Public

Stephen Burnett  
W. SUB

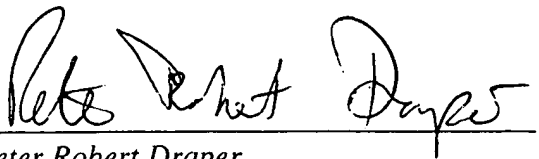
STATE OF FLORIDA  
COUNTY OF DINELHAS ss:

Before me personally appeared Stephen Burnett, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 27 of JUNE, 2000.

Violet F. Meaney  
Notary Public

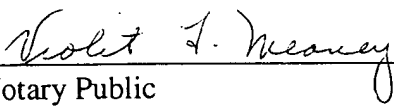
NOTARY PUBLIC - STATE OF FLORIDA  
VIOLET F. MEANEY  
COMMISSION # CC822780  
EXPIRES 7/18/2003  
BONDED THRU ASA 1-888-NOTARY1

  
Peter Robert Draper

STATE OF Florida  
COUNTY OF Pinellas: ss:

Before me personally appeared Peter Robert Draper, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 27 of June, 2000.

  
Notary Public

NOTARY PUBLIC - STATE OF FLORIDA  
VIOLET F. MEANEY  
COMMISSION # CC822780  
EXPIRES 7/18/2003  
BONDED THRU ASA 1-888-NOTARY1

### ASSIGNMENT

This assignment is made by Keith Edward Tanner, 1403 Crestwood Court North, Safety Harbor, Florida 34695; John J. Getz, PMB 136, 4801 Linton Blvd., Delray Beach, Florida 33445; Stephen Burnett, 2725 Lichen Lane, Clearwater, Florida 33760; Elizabeth Youngblood, 5120 Rolling Fairway Drive, Valrico, Florida 33595; and Peter Robert Draper, 860 Lasserty Avenue, LaSalle, Ontario, Canada N9J 3E9 (hereinafter "ASSIGNORS") to R.P. Scherer Technologies, Inc., 2030 East Flamingo Road, Suite 260, Paradise Valley, Nevada 89119 (hereinafter "ASSIGNEE")

FOR ONE U.S. DOLLAR (\$1.00) AND FOR OTHER GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, ASSIGNORS do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to their invention entitled FILM FORMING COMPOSITIONS COMPRISING MODIFIED STARCHES AND IOTA-CARRAGEENAN AND METHODS FOR MANUFACTURING SOFT CAPSULES USING SAME, as described and/or claimed in U.S. Patent Application Serial No. 09/608,853, filed June 30, 2000.

ASSIGNORS further do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to ASSIGNORS' invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

ASSIGNORS HEREBY AUTHORIZE ASSIGNEE to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in ASSIGNEE'S name.

ASSIGNORS HEREBY PROMISE AND AGREE that ASSIGNORS will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention. ASSIGNORS will, at any time upon the request and without further consideration, but at the expense of ASSIGNEE, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, in ASSIGNEE, its successors, assigns, or other legal representatives. ASSIGNORS will, at any time, upon the request and at the expense of ASSIGNEE, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part(s)

thereof and any patents issuing thereon are hereby assigned to ASSIGNEE. ASSIGNORS will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNORS HEREBY AUTHORIZE AND REQUEST any and all Letters Patent for the invention, resulting from any of the aforesaid applications to the ASSIGNEE.

\_\_\_\_\_  
*Keith Edward Tanner*

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_: ss:

Before me personally appeared Keith Edward Tanner, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this \_\_\_\_\_ of \_\_\_\_\_, 2000.

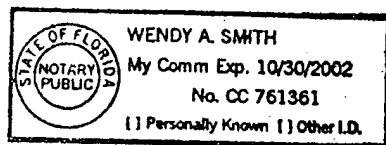
\_\_\_\_\_  
Notary Public

*John J. Getz*  
\_\_\_\_\_  
*John J. Getz*

STATE OF FLORIDA  
COUNTY OF Palm Beach: ss:

Before me personally appeared John J. Getz, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 29 of June, 2000.



*Wendy A. Smith*  
\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
*Elizabeth Youngblood*

STATE OF \_\_\_\_\_